

Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned, **GREGOR ROK KASTELIC**, hereby state, in accordance with Principles 11 and 23 of the Slovenian Corporate Governance Code for Listed Companies (hereinafter: the Code), the second and fourth paragraphs of Article 20 of the Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: the Articles of Association of NLB d.d.) and Article 274 of the Companies Act (Official Gazette of the RS, no. 42/06 of 19/04/2006, as amended), and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d., that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board, and that I am independent and impartial. Below, I give a statement on potential conflicts of interest as defined in Appendix B to the Code and the provisions of the Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statements under items (a) to (h) shall be assessed based on the criteria laid down in Appendix B to the Code: The accuracy of the statements under items (i) and (j) shall be assessed based on the criteria laid down in the second paragraph of Article 20 of the Articles of Association of NLB d.d. For the purpose of this statement, the term "the Company" shall mean NLB d.d.

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other

related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:



Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned **SERGEJA KOČAR** hereby state, in accordance with Principle 11 and 23 of the Slovenian Corporate Governance Code of 27/10/2016 (hereinafter: the Code) and on the basis of paragraph 3 and 4 of Article 20 of Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: Articles of Association of NLB d.d.) and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d. that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board. I hereby provide the declarations concerning the potential conflicts of interest as laid down in Appendix B to the Code and provisions of Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statement shall be assessed based on the criteria laid down in Appendix B to the Code:

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an

executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31.1. 2022

Signature: 

Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned, **SHRENIK DAVDA**, hereby state, in accordance with Principles 11 and 23 of the Slovenian Corporate Governance Code for Listed Companies (hereinafter: the Code), the second and fourth paragraphs of Article 20 of the Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: the Articles of Association of NLB d.d.) and Article 274 of the Companies Act (Official Gazette of the RS, no. 42/06 of 19/04/2006, as amended), and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d., that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board, and that I am independent and impartial. Below, I give a statement on potential conflicts of interest as defined in Appendix B to the Code and the provisions of the Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statements under items (a) to (h) shall be assessed based on the criteria laid down in Appendix B to the Code: The accuracy of the statements under items (i) and (j) shall be assessed based on the criteria laid down in the second paragraph of Article 20 of the Articles of Association of NLB d.d. For the purpose of this statement, the term "the Company" shall mean NLB d.d.

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other

related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 2/2/22

Signature:



Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned, **ANDREAS KLINGEN**, hereby state, in accordance with Principles 11 and 23 of the Slovenian Corporate Governance Code for Listed Companies (hereinafter: the Code), the second and fourth paragraphs of Article 20 of the Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: the Articles of Association of NLB d.d.) and Article 274 of the Companies Act (Official Gazette of the RS, no. 42/06 of 19/04/2006, as amended), and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d., that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board, and that I am independent and impartial. Below, I give a statement on potential conflicts of interest as defined in Appendix B to the Code and the provisions of the Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statements under items (a) to (h) shall be assessed based on the criteria laid down in Appendix B to the Code: The accuracy of the statements under items (i) and (j) shall be assessed based on the criteria laid down in the second paragraph of Article 20 of the Articles of Association of NLB d.d. For the purpose of this statement, the term "the Company" shall mean NLB d.d.

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of cooperating with them in other companies or bodies.

TRUE

FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:
Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:



Statement of independence

of a member of the Supervisory Board of NLB d.d.

I, the undersigned **BOJANA ŠTEBLAJ** hereby state, in accordance with Principle 11 and 23 of the Slovenian Corporate Governance Code of 27/10/2016 (hereinafter: the Code) and on the basis of paragraph 3 and 4 of Article 20 of Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: Articles of Association of NLB d.d.) and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d. that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board. I hereby provide the declarations concerning the potential conflicts of interest as laid down in Appendix B to the Code and provisions of Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statement shall be assessed based on the criteria laid down in Appendix B to the Code:

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the

company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

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- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

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Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:
(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:

Digitarno podpisal
BOJANA
STEBLAJ
01. 02. 2022 10:56:28

Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned, **DAVID ERIC SIMON**, hereby state, in accordance with Principles 11 and 23 of the Slovenian Corporate Governance Code for Listed Companies (hereinafter: the Code), the second and fourth paragraphs of Article 20 of the Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: the Articles of Association of NLB d.d.) and Article 274 of the Companies Act (Official Gazette of the RS, no. 42/06 of 19/04/2006, as amended), and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d., that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board, and that I am independent and impartial. Below, I give a statement on potential conflicts of interest as defined in Appendix B to the Code and the provisions of the Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statements under items (a) to (h) shall be assessed based on the criteria laid down in Appendix B to the Code: The accuracy of the statements under items (i) and (j) shall be assessed based on the criteria laid down in the second paragraph of Article 20 of the Articles of Association of NLB d.d. For the purpose of this statement, the term "the Company" shall mean NLB d.d.

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal

business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:
Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:



Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned, **ISLAM OSAMA BAHAGT ZEKRY**, hereby state, in accordance with Principles 11 and 23 of the Slovenian Corporate Governance Code for Listed Companies (hereinafter: the Code), the second and fourth paragraphs of Article 20 of the Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: the Articles of Association of NLB d.d.) and Article 274 of the Companies Act (Official Gazette of the RS, no. 42/06 of 19/04/2006, as amended), and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d., that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board, and that I am independent and impartial. Below, I give a statement on potential conflicts of interest as defined in Appendix B to the Code and the provisions of the Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statements under items (a) to (h) shall be assessed based on the criteria laid down in Appendix B to the Code: The accuracy of the statements under items (i) and (j) shall be assessed based on the criteria laid down in the second paragraph of Article 20 of the Articles of Association of NLB d.d. For the purpose of this statement, the term "the Company" shall mean NLB d.d.

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal

business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:
Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:
(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:



Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned, **MARK WILLIAM LANE RICHARDS**, hereby state, in accordance with Principles 11 and 23 of the Slovenian Corporate Governance Code for Listed Companies (hereinafter: the Code), the second and fourth paragraphs of Article 20 of the Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: the Articles of Association of NLB d.d.) and Article 274 of the Companies Act (Official Gazette of the RS, no. 42/06 of 19/04/2006, as amended), and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d., that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board, and that I am independent and impartial. Below, I give a statement on potential conflicts of interest as defined in Appendix B to the Code and the provisions of the Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statements under items (a) to (h) shall be assessed based on the criteria laid down in Appendix B to the Code: The accuracy of the statements under items (i) and (j) shall be assessed based on the criteria laid down in the second paragraph of Article 20 of the Articles of Association of NLB d.d. For the purpose of this statement, the term "the Company" shall mean NLB d.d.

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

- g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

- h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

- i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

- j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal

business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:
Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:



Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned **PRIMOŽ KARPE** hereby state, in accordance with Principle 11 and 23 of the Slovenian Corporate Governance Code of 27/10/2016 (hereinafter: the Code) and on the basis of paragraph 3 and 4 of Article 20 of Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: Articles of Association of NLB d.d.) and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d. that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board. I hereby provide the declarations concerning the potential conflicts of interest as laid down in Appendix B to the Code and provisions of Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statement shall be assessed based on the criteria laid down in Appendix B to the Code:

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an

executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:



Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned **TADEJA ŽBONTAR REMS** hereby state, in accordance with Principle 11 and 23 of the Slovenian Corporate Governance Code of 27/10/2016 (hereinafter: the Code) and on the basis of paragraph 3 and 4 of Article 20 of Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: Articles of Association of NLB d.d.) and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d. that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board. I hereby provide the declarations concerning the potential conflicts of interest as laid down in Appendix B to the Code and provisions of Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statement shall be assessed based on the criteria laid down in Appendix B to the Code:

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an

executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE FALSE

g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE FALSE

h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE FALSE

i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE FALSE

j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:

Digitalno podpisal
TADEJA
ZBONTAR REMS
03. 02. 2022 13:14.48

Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned **VERICA TRSTENJAK** hereby state, in accordance with Principle 11 and 23 of the Slovenian Corporate Governance Code of 27/10/2016 (hereinafter: the Code) and on the basis of paragraph 3 and 4 of Article 20 of Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: Articles of Association of NLB d.d.) and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d. that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board. I hereby provide the declarations concerning the potential conflicts of interest as laid down in Appendix B to the Code and provisions of Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statement shall be assessed based on the criteria laid down in Appendix B to the Code:

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an executive director or member of the management board is a member of the supervisory board of the

company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board Please circle as appropriate.

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:



ⁱ I work (part-time) at the (public) University of Ljubljana.

Statement of independence of a member of the Supervisory Board of NLB d.d.

I, the undersigned **JANJA ŽABJEK DOLINŠEK** hereby state, in accordance with Principle 11 and 23 of the Slovenian Corporate Governance Code of 27/10/2016 (hereinafter: the Code) and on the basis of paragraph 3 and 4 of Article 20 of Articles of Association of Nova Ljubljanska banka d.d., Ljubljana (hereinafter: Articles of Association of NLB d.d.) and in relation to my membership in the Supervisory Board/Committee of the Supervisory Board of NLB d.d. that I have the expertise and sufficient experience and knowledge for performing the office of a member of the Supervisory Board/Committee of the Supervisory Board. I hereby provide the declarations concerning the potential conflicts of interest as laid down in Appendix B to the Code and provisions of Articles of Association of NLB d.d.

Please indicate if the statement is true or false. The accuracy of the statement shall be assessed based on the criteria laid down in Appendix B to the Code:

- a) I am not an executive director or a member of the Management Board of the Company or an associate thereof, and have not occupied such a position over the past three years.

TRUE

FALSE

- b) I am not an employee of the Company thereof and I have not held such a position in the last three years except if I was elected to the Supervisory Board within the system of workers' representation as set forth by the law, and I am not an executive.

TRUE

FALSE

- c) I have not received substantial amounts of income from the Company or an associate thereof aside from the payment for being a member of the Supervisory Board or a Committee of the Supervisory Board.

TRUE

FALSE

- č) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

- d) I do not have, and have not entered into over the past year, any significant business contacts with the Company or an associate thereof, either directly or as a partner, shareholder, manager or executive of an entity having such a relationship. Having entered into business contacts implies being a supplier of goods or services (including financial, legal, consulting or advising services), an important client or an organisation that is a recipient of substantial sums from the Company or the group thereof.

TRUE

FALSE

- e) I am not and have not been in the last three years a partner or employee of a present or former external auditor of the Company or a company associated with the external auditor.

TRUE

FALSE

- f) I am not an executive director or member of the management board of another company in which an

executive director or member of the management board is a member of the supervisory board of the company concerned. Furthermore, I am not related in any other way with executive directors or members of the management board on account of co-operating with them in other companies or bodies.

TRUE

FALSE

g) I have not held the position of a Supervisory Board member for more than three terms of office (or more than 12 years in case the Company's Articles of Association lay down a term of office of less than four years).

TRUE

FALSE

h) I am not a close family member of the members of the Management Board or persons occupying the positions under items (a) through (g).

TRUE

FALSE

i) I am not and have not been in the past 24 (twenty-four) months from the date of election to the Supervisory Board in an employment relationship with the Slovenian Sovereign Holding, d.d., state authority, public agency, public fund, public institute or public utility institute established by the Republic of Slovenia, or with another body governed by the public law which is an indirect user of the public budget, or with persons in which the Republic of Slovenia, the Slovenian Sovereign Holding, d.d. or the Pension Fund Management d.d. have a prevailing influence, as defined by the law regulating corporate entities.

TRUE

FALSE

j) I have not in the past 24 (twenty-four) months held a leading or managing position in any political party in the Republic of Slovenia.

TRUE

FALSE

Aside from the situations above:

- I am not a member of the wider management of an associated company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of a proposal for the Company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain: _____:

A dependence of a candidate or member of the Supervisory Board and Committee shall exist if the conflict of interest pursuant to Appendix B of the Code is relevant and of a permanent (and not merely temporary) nature. The criteria for assessing the relevance of a conflict of interest shall be in particular the following:

- the type and number of actions and decisions to which the conflict of interest (may) relate;
- the probability of actual realisation of the conflict of interest; and
- the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.

The circumstances specified above (Appendix B3 and B4 of the Code) shall in any case result in an assumption of dependence. Such assumptions may be contested if the member of the Supervisory Board demonstrates that the conflict of interest is neither permanent nor relevant despite the realisation of a criterion.

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) An independent member of the Supervisory Board/Committee of the Supervisory Board *Please circle as appropriate.*

a1) I meet all the criteria of independence and all the statements made above are true.

a2) The criteria _____ are untrue:

(Please circle the reasons)

- with regard to the type and number of actions and decisions to which the conflict of interest (may) relate;
- with regard to the probability of actual realisation of the conflict of interest and the effect of the conflict of interest on the ability to objectively assess the Management Board and the Company's operations.
- for being neither permanent nor relevant.

An additional explanation concerning the permanence and relevance of the criterion:

b) A dependent member of the Supervisory Board/Committee of the Supervisory Board.

By signing this statement, I hereby allow the publication of the signed statement on the Company's website.

Date: 31/1/2022

Signature:

Digitalno podpisal
JANJA
ZABJEK DOLINSEK
01. 02. 2022 09:10:31