

Izjava o neodvisnosti člana NS družbe NLB d.d.

Podpisani(-a) PRIMOŽ KARPE skladno z 8. točko 17.2 člena Kodeksa upravljanja javnih delniških družb z dne 8.12.2009 (v nadaljevanju: Kodeks) vezano na moje članstvo v nadzornem svetu družbe NLB d.d. izjavljam, da imam za opravljanje funkcije člana (-ice) nadzornega sveta dovolj izkušenj in znanja ter se izrekam glede potencialnih nasprotij interesov iz priloge C v Kodeksu.

Prosimo označite, ali trditev drži ali ne drži. Pri presojanju resničnosti trditve se uporabljajo kriteriji iz priloge C Kodeksa:

a) Ne opravljam funkcije izvršnega direktorja ali člana uprave družbe ali povezane družbe in nisem opravljal takšne funkcije v zadnjih petih letih.

DRŽI

NE DRŽI

b) Nisem zaposlen v družbi ali povezani družbi in nisem bil na takšnem položaju v zadnjih treh letih.

DRŽI

NE DRŽI

c) Ne prejemam večjih dodatnih prejemkov iz družbe ali povezane družbe, razen plačila, ki ga prejemam kot član nadzornega sveta.

DRŽI

NE DRŽI

d) Nisem večinski(-a) delničar(-ka) in tudi ne zastopam večinskega/večinskih delničarjev.

DRŽI

NE DRŽI

e) Z družbo ali povezano družbo nimam oziroma v zadnjem letu nisem imel(-a) pomembnih poslovnih stikov tako neposredno kot tudi ne kot partner, delničar, direktor ali vodilni delavec organa.

DRŽI

NE DRŽI

f) Nisem in tudi v zadnjih treh letih nisem bil(-a) partner (-ica) ali uslužbenec (uslužbenka) sedanjega ali nekdanjega zunanjega revizorja v družbi ali povezani družbi.

DRŽI

NE DRŽI

g) Nisem izvršni (-a) direktor (-ica) ali član (-ica) uprave druge družbe, v kateri je izvršni direktor ali član uprave družbe član nadzornega sveta. Prav tako nisem kako drugače povezan(-a) z izvršnimi direktorji oziroma člani uprave preko sodelovanja v drugih družbah ali organih.

DRŽI

NE DRŽI

h) V nadzornem svetu nisem več kot tri mandate (ali več kot 12 let).

DRŽI

NE DRŽI

i) Nisem bližnji družinski član članov uprave ali oseb, ki so v položajih, omenjenih v točkah od a) do h)

DRŽI

NE DRŽI

Poleg zgornjih navedb tudi

- nisem član(-ica) širšega posloводства povezane družbe in

DRŽI

NE DRŽI

- nisem sodeloval(-a) pri sestavljanju vsebine predloga letnega poročila družbe.

DRŽI

NE DRŽI

Nasprotje interesov obstaja, kadar je nepristransko in objektivno izvajanje nalog oziroma odločanje člana nadzornega sveta ali uprave ogroženo zaradi vključevanja njegovega osebnega ekonomskega interesa, interesov družine, njenih čustev, politične ali nacionalne (ne)naklonjenosti ali kakršnih koli drugih povezanih interesov z drugo fizično ali pravno osebo.

Ali na vaše odločanje, skladno z zgornjo definicijo nasprotja interesov, vpliva še kakšno dejstvo, ki ni bilo navedeno zgoraj?

NE

DA

Prosimo obrazložite, če ste odgovorili z DA:

Datum:

22/2/2016

Glede na izkazana potencialna nasprotja interesov se izrekam za:

Prosimo, da ustrezno obkrožite.

a) neodvisnega (-o) člana(-ico) nadzornega sveta

b) odvisnega člana(-ico) nadzornega sveta

Opomba: Člani nadzornega sveta se smatrajo na neodvisne, če izpolnjujejo vse kriterije neodvisnosti (vse trditve, navedene zgoraj, držijo).

S svojim podpisom dovoljujem objavo podpisane izjave na spletnih straneh družbe.

Podpis:



Statement of the independence of the member of the Supervisory Board

The undersigned David Eric Simon, pursuant to Item 8 of Article 17.2 of the Corporate Governance Code dated 08/12/2009 (hereinafter: the Code), in relation to my membership in the Supervisory Board of the company NLB d.d., hereby declare that I have sufficient experience and knowledge to perform the function of a supervisory board member and give a statement on potential conflicts of interest under Appendix C of the Code.

Please indicate if the statement is true or false. The accuracy of the statement is assessed based on the criteria from Appendix C of the Code:

a) I am not an executive director or a member of the management board in the company or in any related company, and have not occupied such a position over the past 5 years.

TRUE

b) I am not employed in the company or in any related company, and have not occupied such a position over the past 3 years.

TRUE

c) I have not received substantial sums from the company or related companies aside from the payment for being a member of the supervisory board.

TRUE

d) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

e) I do not have, and have not entered into, over the past year, any significant business contacts with the company or a related company, either directly or as partner, shareholder, director or top manager of a body.

TRUE

f) I am not and have not been in the last three years a partner or employee of the present or former external auditor of the company or its related company.

TRUE

g) I am not an executive director or member of the management board in another company in which an executive director or member of the management board is a member of the supervisory board. Furthermore, I am not related in any other way with executive directors or members of the management board on account of cooperating with them in other companies or bodies.

TRUE

h) I have not been a member of a supervisory board for more than three terms of office (or more than 12 years).

TRUE

i) I am not a close family member of the members of the management board or persons occupying the positions from items (a) to (h)

TRUE

Aside from the situations above

- I am not a member of the wider management of a related company; and

TRUE

- I have not participated in the drafting of the contents of the proposal for the company's annual report.

TRUE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

If you answered YES, please explain: _____

Date: 13/09/2016

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Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) an independent member of the supervisory board

Note: The members of the supervisory board are deemed independent if they fulfil all criteria of independence (all statements given above are true).

By signing this statement I hereby allow the publication of the signed statement on the company's website.


Signature: _____

Izjava o neodvisnosti člana NS družbe NLB

Podpisani(-a) DAVID KASTELIC skladno z 8. točko 17.2 člena Kodeksa upravljanja javnih delniških družb z dne 8.12.2009 (v nadaljevanju: Kodeks) vezano na moje članstvo v nadzornem svetu družbe NLB izjavljam, da imam za opravljanje funkcije člana (-ice) nadzornega sveta dovolj izkušenj in znanja ter se izrekam glede potencialnih nasprotij interesov iz priloge C v Kodeksu.

Prosimo označite, ali trditev drži ali ne drži. Pri presojanju resničnosti trditve se uporabljajo kriteriji iz priloge C Kodeksa:

a) Ne opravljam funkcije izvršnega direktorja ali člana uprave družbe ali povezane družbe in nisem opravljal takšne funkcije v zadnjih petih letih.

DRŽI

NE DRŽI

b) Nisem zaposlen v družbi ali povezani družbi in nisem bil na takšnem položaju v zadnjih treh letih.

DRŽI

NE DRŽI

c) Ne prejemam večjih dodatnih prejemkov iz družbe ali povezane družbe, razen plačila, ki ga prejemam kot član nadzornega sveta.

DRŽI

NE DRŽI

d) Nisem večinski(-a) delničar(-ka) in tudi ne zastopam večinskega/večinskih delničarjev.

DRŽI

NE DRŽI

e) Z družbo ali povezano družbo nimam oziroma v zadnjem letu nisem imel(-a) pomembnih poslovnih stikov tako neposredno kot tudi ne kot partner, delničar, direktor ali vodilni delavec organa.

DRŽI

NE DRŽI

f) Nisem in tudi v zadnjih treh letih nisem bil(-a) partner (-ica) ali uslužbenec (uslužbenka) sedanjega ali nekdanjega zunanjega revizorja v družbi ali povezani družbi.

DRŽI

NE DRŽI

g) Nisem izvršni (-a) direktor (-ica) ali član (-ica) uprave druge družbe, v kateri je izvršni direktor ali član uprave družbe član nadzornega sveta. Prav tako nisem kako drugače povezan(-a) z izvršnimi direktorji oziroma člani uprave preko sodelovanja v drugih družbah ali organih.

DRŽI

NE DRŽI

h) V nadzornem svetu nisem več kot tri mandate (ali več kot 12 let).

DRŽI

NE DRŽI

i) Nisem bližnji družinski član članov uprave ali oseb, ki so v položajih, omenjenih v točkah od a) do h)

DRŽI

NE DRŽI

Poleg zgornjih navedb tudi

- nisem član(-ica) širšega posloводства povezane družbe in

DRŽI

NE DRŽI

- nisem sodeloval(-a) pri sestavljanju vsebine predloga letnega poročila družbe.

DRŽI

NE DRŽI

Nasprotje interesov obstaja, kadar je nepristransko in objektivno izvajanje nalog oziroma odločanje člana nadzornega sveta ali uprave ogroženo zaradi vključevanja njegovega osebnega ekonomskega interesa, interesov družine, njenih čustev, politične ali nacionalne (ne)naklonjenosti ali kakršnih koli drugih povezanih interesov z drugo fizično ali pravno osebo.

Ali na vaše odločanje, skladno z zgornjo definicijo nasprotja interesov, vpliva še kakšno dejstvo, ki ni bilo navedeno zgoraj?

NE

DA

Prošimo obrazložite, če ste odgovorili z DA:

Datum: 13.9.2016

Glede na izkazana potencialna nasprotja interesov se izrekam za:

Prošimo, da ustrezno obkrožite.

a) neodvisnega (-o) člana(-ico) nadzornega sveta

b) odvisnega člana(-ico) nadzornega sveta

Opomba: Člani nadzornega sveta se smatrajo na neodvisne, če izpolnjujejo vse kriterije neodvisnosti (vse trditve, navedene zgoraj, držijo).

S svojim podpisom dovoljujem objavo podpisane izjave na spletnih straneh družbe.

Podpis: _____

Izjava o neodvisnosti člana NS družbe NLB d.d.

Podpisani(-a) MATJAŽ TITAN skladno z 8. točko 17.2 člena Kodeksa upravljanja javnih delniških družb z dne 8.12.2009 (v nadaljevanju: Kodeks) vezano na moje članstvo v nadzornem svetu družbe NLB d.d. izjavljam, da imam za opravljanje funkcije člana (-ice) nadzornega sveta dovolj izkušenj in znanja ter se izrekam glede potencialnih nasprotij interesov iz priloge C v Kodeksu.

Prosimo označite, ali trditev drži ali ne drži. Pri presojanju resničnosti trditve se uporabljajo kriteriji iz priloge C Kodeksa:

a) Ne opravljam funkcije izvršnega direktorja ali člana uprave družbe ali povezane družbe in nisem opravljal takšne funkcije v zadnjih petih letih.

DRŽI

NE DRŽI

b) Nisem zaposlen v družbi ali povezani družbi in nisem bil na takšnem položaju v zadnjih treh letih.

DRŽI

NE DRŽI

c) Ne prejemam večjih dodatnih prejemkov iz družbe ali povezane družbe, razen plačila, ki ga prejemam kot član nadzornega sveta.

DRŽI

NE DRŽI

d) Nisem večinski(-a) delničar(-ka) in tudi ne zastopam večinskega/večinskih delničarjev.

DRŽI

NE DRŽI

e) Z družbo ali povezano družbo nimam oziroma v zadnjem letu nisem imel(-a) pomembnih poslovnih stikov tako neposredno kot tudi ne kot partner, delničar, direktor ali vodilni delavec organa.

DRŽI

NE DRŽI

f) Nisem in tudi v zadnjih treh letih nisem bil(-a) partner (-ica) ali uslužbenec (uslužbenka) sedanjega ali nekdanjega zunanjega revizorja v družbi ali povezani družbi.

DRŽI

NE DRŽI

g) Nisem izvršni (-a) direktor (-ica) ali član (-ica) uprave druge družbe, v kateri je izvršni direktor ali član uprave družbe član nadzornega sveta. Prav tako nisem kako drugače povezan(-a) z izvršnimi direktorji oziroma člani uprave preko sodelovanja v drugih družbah ali organih.

DRŽI

NE DRŽI

h) V nadzornem svetu nisem več kot tri mandate (ali več kot 12 let).

DRŽI

NE DRŽI

i) Nisem bližnji družinski član članov uprave ali oseb, ki so v položajih, omenjenih v točkah od a) do h)

DRŽI

NE DRŽI

Poleg zgornjih navedb tudi

- nisem član(-ica) širšega posloводства povezane družbe in

DRŽI

NE DRŽI

- nisem sodeloval(-a) pri sestavljanju vsebine predloga letnega poročila družbe.

DRŽI

NE DRŽI

Nasprotje interesov obstaja, kadar je nepristransko in objektivno izvajanje nalog oziroma odločanje člana nadzornega sveta ali uprave ogroženo zaradi vključevanja njegovega osebnega ekonomskega interesa, interesov družine, njenih čustev, politične ali nacionalne (ne)naklonjenosti ali kakršnih koli drugih povezanih interesov z drugo fizično ali pravno osebo.

Ali na vaše odločanje, skladno z zgornjo definicijo nasprotja interesov, vpliva še kakšno dejstvo, ki ni bilo navedeno zgoraj?

NE

DA

Prosimo obrazložite, če ste odgovorili z DA:

Datum: 14.9.2016

Glede na izkazana potencialna nasprotja interesov se izrekam za:

Prosimo, da ustrezno obkrožite.

a) neodvisnega (-o) člana(-ico) nadzornega sveta

b) odvisnega člana(-ico) nadzornega sveta

Opomba: Člani nadzornega sveta se smatrajo na neodvisne, če izpolnjujejo vse kriterije neodvisnosti (vse trditve, navedene zgoraj, držijo).

S svojim podpisom dovoljujem objavo podpisane izjave na spletnih straneh družbe.

Podpis: 

Statement of the independence of the member of the Supervisory Board

The undersigned Mag. Alexander Bayr, pursuant to Item 8 of Article 17.2 of the Corporate Governance Code dated 08/12/2009 (hereinafter: the Code), in relation to my membership in the Supervisory Board of the company NLB d.d., hereby declare that I have sufficient experience and knowledge to perform the function of a supervisory board member and give a statement on potential conflicts of interest under Appendix C of the Code.

Please indicate if the statement is true or false. The accuracy of the statement is assessed based on the criteria from Appendix C of the Code:

a) I am not an executive director or a member of the management board in the company or in any related company, and have not occupied such a position over the past 5 years.

TRUE

b) I am not employed in the company or in any related company, and have not occupied such a position over the past 3 years.

TRUE

c) I have not received substantial sums from the company or related companies aside from the payment for being a member of the supervisory board.

TRUE

d) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

e) I do not have, and have not entered into, over the past year, any significant business contacts with the company or a related company, either directly or as partner, shareholder, director or top manager of a body.

TRUE

f) I am not and have not been in the last three years a partner or employee of the present or former external auditor of the company or its related company.

TRUE

g) I am not an executive director or member of the management board in another company in which an executive director or member of the management board is a member of the supervisory board. Furthermore, I am not related in any other way with executive directors or members of the management board on account of cooperating with them in other companies or bodies.

TRUE

h) I have not been a member of a supervisory board for more than

three terms of office (or more than 12 years).

TRUE

i) I am not a close family member of the members of the management board or persons occupying the positions from items (a) to (h)

TRUE

Aside from the situations above

- I am not a member of the wider management of a related company; and

TRUE

- I have not participated in the drafting of the contents of the proposal for the company's annual report.

TRUE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

If you answered YES, please explain:

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) an independent member of the supervisory board - xxx

b) a dependent member of the supervisory board

Note: The members of the supervisory board are deemed independent if they fulfil all criteria of independence (all statements given above are true).

By signing this statement I hereby allow the publication of the signed statement on the company's website.

Signature: _____

Date:

13/9/16

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Statement of the independence of the member of the Supervisory Board

The undersigned Andreas Klingen, pursuant to Item 8 of Article 17.2 of the Corporate Governance Code dated 08/12/2009 (hereinafter: the Code), in relation to my membership in the Supervisory Board of the company NLB d.d., hereby declare that I have sufficient experience and knowledge to perform the function of a supervisory board member and give a statement on potential conflicts of interest under Appendix C of the Code.

Please indicate if the statement is true or false. The accuracy of the statement is assessed based on the criteria from Appendix C of the Code:

a) I am not an executive director or a member of the management board in the company or in any related company, and have not occupied such a position over the past 5 years.

TRUE

FALSE

b) I am not employed in the company or in any related company, and have not occupied such a position over the past 3 years.

TRUE

FALSE

c) I have not received substantial sums from the company or related companies aside from the payment for being a member of the supervisory board.

TRUE

FALSE

d) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

e) I do not have, and have not entered into, over the past year, any significant business contacts with the company or a related company, either directly or as partner, shareholder, director or top manager of a body.

TRUE

FALSE

f) I am not and have not been in the last three years a partner or employee of the present or former external auditor of the company or its related company.

TRUE

FALSE

g) I am not an executive director or member of the management board in another company in which an executive director or member of the management board is a member of the supervisory board. Furthermore, I am not related in any other way with executive directors or members of the management board on account of cooperating with them in other companies or bodies.

TRUE

FALSE

h) I have not been a member of a supervisory board for more than three terms of office (or more than 12 years).

TRUE

FALSE

i) I am not a close family member of the members of the management board or persons occupying the positions from items (a) to (h)

TRUE

FALSE

Aside from the situations above

- I am not a member of the wider management of a related company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of the proposal for the company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain:

Date: 25/02/2016

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

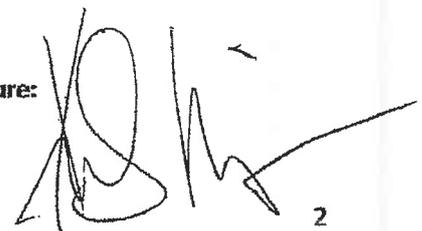
a) an independent member of the supervisory board

b) a dependent member of the supervisory board

Note: The members of the supervisory board are deemed independent if they fulfil all criteria of independence (all statements given above are true).

By signing this statement I hereby allow the publication of the signed statement on the company's website.

Signature:



Statement of the independence of the member of the Supervisory Board

The undersigned LASZLO URBAN, pursuant to Item 8 of Article 17.2 of the Corporate Governance Code dated 08/12/2009 (hereinafter: the Code), in relation to my membership in the Supervisory Board of the company NLB, hereby declare that I have sufficient experience and knowledge to perform the function of a supervisory board member and give a statement on potential conflicts of interest under Appendix C of the Code.

Please indicate if the statement is true or false. The accuracy of the statement is assessed based on the criteria from Appendix C of the Code:

a) I am not an executive director or a member of the management board in the company or in any related company, and have not occupied such a position over the past 5 years.

TRUE

FALSE

b) I am not employed in the company or in any related company, and have not occupied such a position over the past 3 years.

TRUE

FALSE

c) I have not received substantial sums from the company or related companies aside from the payment for being a member of the supervisory board.

TRUE

FALSE

d) I am not a majority shareholder and I do not represent majority shareholder(s).

TRUE

FALSE

e) I do not have, and have not entered into, over the past year, any significant business contacts with the company or a related company, either directly or as partner, shareholder, director or top manager of a body.

TRUE

FALSE

f) I am not and have not been in the last three years a partner or employee of the present or former external auditor of the company or its related company.

TRUE

FALSE

g) I am not an executive director or member of the management board in another company in which an executive director or member of the management board is a member of the supervisory board. Furthermore, I am not related in any other way with executive directors or members of the management board on account of cooperating with them in other companies or bodies.

TRUE

FALSE

h) I have not been a member of a supervisory board for more than three terms of office (or more than 12 years).

TRUE

FALSE

i) I am not a close family member of the members of the management board or persons occupying the positions from items (a) to (h)

TRUE

FALSE

Aside from the situations above

- I am not a member of the wider management of a related company; and

TRUE

FALSE

- I have not participated in the drafting of the contents of the proposal for the company's annual report.

TRUE

FALSE

A conflict of interest exists when the impartial and objective performance of tasks and decision taking on part of a member of the supervisory board or management board is compromised due to personal business interests being involved, their family's interests, emotions, political or national bias or any other related interests with other natural or legal persons.

Based on the above definition of a conflict of interest, is decision-making by you influenced by any other fact not stated above?

NO

YES

If you answered YES, please explain:

Considering the statement on the potential conflicts of interest, I declare myself to be:

Please circle as appropriate.

a) an independent member of the supervisory board

b) a dependent member of the supervisory board

Note: The members of the supervisory board are deemed independent if they fulfil all criteria of independence (all statements given above are true).

By signing this statement I hereby allow the publication of the signed statement on the company's website.

Date: 02/23/2016 Signature: [Signature]